

Completing a Caused Enquiry

November 2024

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Adult Services



Poll – How confident do you feel about completing caused enquiries

What is a S42.1

The Care Act 2014 places a duty on the Local Authority to undertake a Safeguarding Enquiry where a Local Authority has reasonable cause to suspect that an adult in its area (whether or not ordinarily a resident there):

- has needs for care and support (whether or not the Authority is meeting any of those needs);
- is experiencing, or is at risk of, abuse or neglect;
- as a result of those needs is unable to protect himself or herself against the abuse or neglect or the risk of it.

Things to consider for a caused enquiry

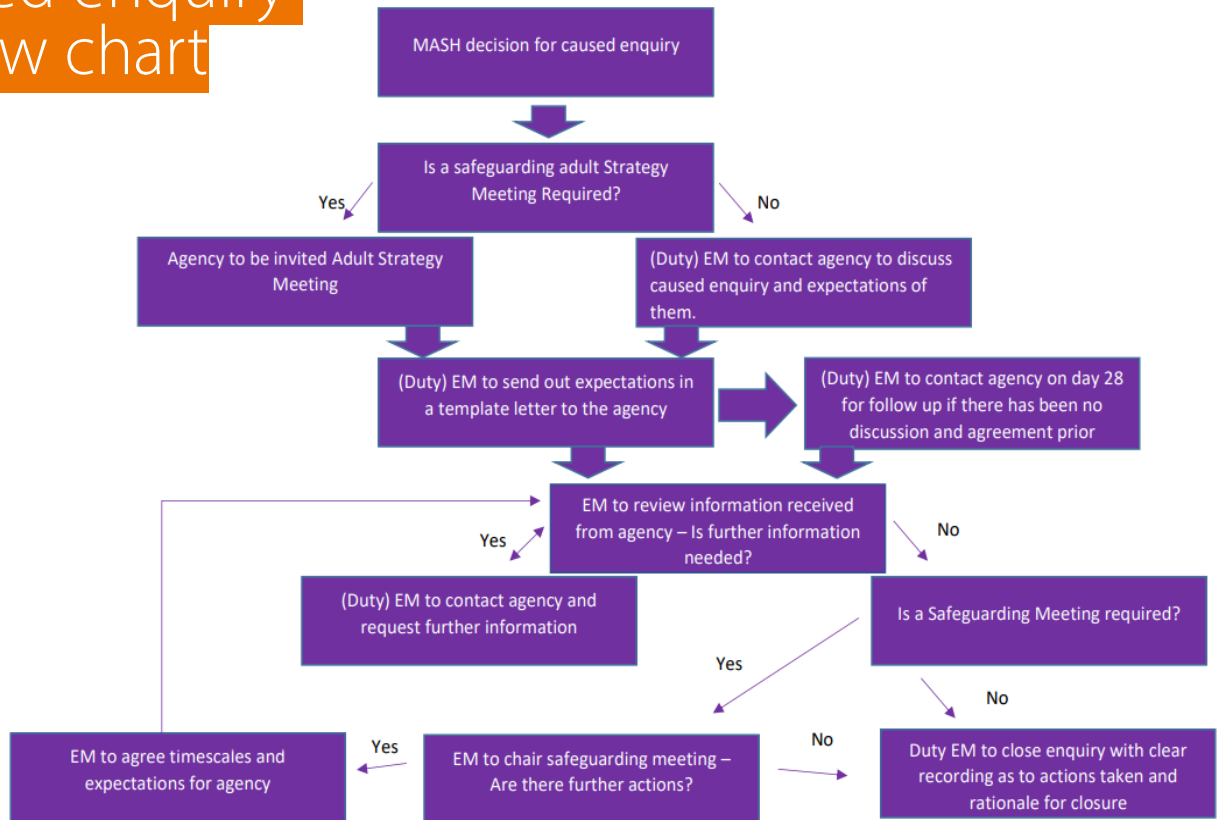
Causing an enquiry

- Which professional has the closet relationship
- Employment responsibilities
- Who has the necessary skills and knowledge
- Where has the abuse or neglect has taken back

When not to Cause a enquiry

- Conflict of interest
- None effective previous enquiries
- Concerns CQC inspection
- Organisational Abuse

Caused enquiry flow chart



Duty of the Local Authority Causing a enquiry

- Enquiry Manager to hold safeguarding adult meeting or have a discussion with the organisation.
- Enquiry Manager to complete Caused Enquiry Agreement form and agree MSP
- Enquiry Manager to agree who will undertake a MCA where appropriate
- Enquiry Manager to complete advocacy referral – if there are concerns around capacity or ability to participate (If there are no alternative options)
- Enquiry Manager to ensure the person is safeguarded
- Enquiry Manager to agree a alternative timescale if required – Expected timescale 28 days

Duty of the organisation identified to undertake an enquiry

- Appoint a safeguarding to lead to undertake enquiry
- Understand the terms of reference for the enquiry
- Raise with enquiry manager if concerned organisation is not the most appropriate to complete caused enquiries
- Complete within the agreed timescales (Expectation 28 days)
- To complete enquiry inline with making safeguarding personal

Completing a Caused enquiry Form

Making Safeguarding Personal (MSP)	
Is the Adult at Risk aware of the concern and able to express their wishes re the allegation?	Yes <i>(What are the Adults desired outcomes for the enquiry and views about the concerns? What is the Adult worried about? What support is available to the Adult? Can family, friends or neighbours offer support? What other protective factors are there?).</i>
	No <i>(Record why MSP was not completed. Are there concerns relating to the persons capacity to protect themselves from harm? Include date of Assessment. Are there risks associated with coercion or duress, additional risk factors, including informing the individual of the allegations?).</i>
Enquiry Methodology (to be completed by Nominated Enquirer).	<i>(Detail on who has been consulted, what documents have been viewed or other internal processes taken place).</i>
Summary of Findings	<i>(Provide details of the findings. Record each allegation separately, where there has been a number of issues raised. Include impact on the Adult and their desired wishes (if known) and outcome of any immediate actions taken. Include information about action taken in relation to specific staff members i.e. increased supervision/support, formal HR processes and referral to Disclosure & Barring Service).</i>

Completing a Caused enquiry Form

<p>Adult(s) at risk Recommendations / Learning</p>	<p><i>(Include how recommendations/learning will be used to improve outcomes and safety of the Adult at risk and others, have the findings been shared with the person and/or their advocate? Are they satisfied with the outcome? Have their desired outcomes been addressed? What actions have been taken to assist the person with recovery and resolution?).</i></p>
<p>Organisational recommendations / learning</p>	<p><i>(Include how recommendations/learning will be used to improve existing policies and procedures, practices, service provision, etc.).</i></p>
<p><u>Ongoing</u> / Residual Risks</p>	
<p>What are the continuing risk factors for the adult?</p>	
<p>What actions are in place to mitigate the risks?</p>	
<p>Are there any risks to others?</p>	
<p>Completed by</p>	
<p>Submitted by</p>	
<p>Date of Submission</p>	

<p>Review of Caused Enquiry between Enquiry Manager (SBC) and nominated agency.</p>	
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Poll – How confident do you now feel about completing caused enquiries

References

[Causing enquiries guidance April 2024 \(3\).pdf](#)

Thank you

www.swindon.gov.uk

